

STEP-BY-STEP GUIDE TO GETTING YOUR SERIES 7 LICENSE:



Step 1

Pass the SIE Exam



Step 2

Be employed by a FINRA-member firm for exam sponsorship and complete a U4 application



Step 3

Sign up for an online course and study for the exam



Step 4

Once your U4 is processed by your firm, register for the exam on FINRA's website and schedule a exam appointment at a Prometric testing center



Step 5

On exam day, get to the testing center 30 minutes early, don't bring prohibited items, stay focused, and crush the exam!

Step 6

Move on to your next testing victory (Series 63, 65, or 66)



Series 7 License Study Guide

John P. Napolitano

A red circular graphic with a gradient, appearing as a partial circle or a thick arc, located to the right of the author's name.

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