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Series 7 exam qualifies you to be a securities agent and an investment adviser representative and the regulators want to make sure that you know what you're talking about when you give investment advice or effect securities transactions. So what does this mean for someone studying for the exam? It means that you need to know a lot of stuff including the three types of securities registration, the nine types of investment risk, the difference between the strong, semi-strong, and weak forms of the EMH, when a securities professional is permitted to sell non-exempt unregistered securities, never who may issue a stop order to deny, revoke, or suspend, when rescission is allowed and by whom, what contumacy is and how to avoid it, what prudent investor suitability and fiduciary mean, a lot of stuff. The comprehensive Solomon Exam Prep Guide to the Series 66 Exam works in three mutually reinforcing ways: it focuses on the most important aspects of the exam, provides you with plenty of practice questions, and continually reminds you why you have to take the test in the first place to protect investors. With practice questions at the end of each chapter as well as a helpful glossary, the Solomon Exam Prep Guide will give you the knowledge to tackle the NASAA Series 66 Uniform Combined State Law Examination with confidence.

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